

NATIONAL LAW UNIVERSITY JODHPUR

Ph.D. REGULATIONS, 2022¹

1. SHORT TITLE, APPLICATION AND COMMENCEMENT:

- 1.1 These Regulations may be called National Law University Jodhpur Doctor of Philosophy Regulations, 2024 hereafter.
- 1.2 These regulations will be applicable for all research scholars enrolled from academic year 2024 onwards.
- 1.3 These Regulations shall come into force with immediate effect.

2. CONSTITUTION OF DOCTORAL COMMITTEE:

The Vice-Chancellor shall constitute Doctoral Committee for admission purpose. The Doctoral Committee will be chaired by the Vice-Chancellor; Ph.D. coordinator shall be the member secretary with four external members and internal members of National Law University Jodhpur (hereinafter referred to as "NLUJ"), one from each stream, Law, Humanities and Social Sciences and Management. The Doctoral Committee shall be constituted for a period of two years at a time. The committee will design broad policies for development and improvement of research programs as well as for admission process of Doctoral students. The Committee will assist in admission process of Ph.D. scholars.

3. CONSTITUTION OF JOINT RESEARCH BOARD:

The Vice-Chancellor shall constitute a Joint Research Board consisting of senior faculty members of the NLUJ for a period of two years at a time to monitor and conduct all the routine research activities of the University and may reconstitute the same from time to time. External experts may be invited as per requirement. The Joint Research Board will meet at least twice a year and once every semester to deliberate upon Ph.D. related matters inclusive of but not limited to appointment of Supervisors, Synopsis finalization, Pre-Submission Presentation, approval of Panel for evaluation of thesis and other research related matters.

4. CONSTITUTION OF RESEARCH ADVISORY COMMITTEE

- 4.1 The Vice-Chancellor shall constitute a Research Advisory Committee. The Research Supervisor of the scholar concerned shall be the Convener of the Research Advisory Committee and there shall be at least two more members. The Vice-Chancellor may nominate one external member in the Research Advisory Committee in addition to one internal faculty member of NLUJ.

¹Amended by the Resolution of Executive Council on February 8, 2024

The committee shall have the following responsibilities²:

- i. To review the research proposal and finalize the topic of research after admission.
- ii. To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
- iii. To periodically review and assist in the progress of the research work of the Ph.D. scholar.

4.2 Each semester, a Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The Research Advisory Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the NLUJ. A copy of such recommendations shall also be provided to the Ph.D. scholar.³

4.3 In case the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.⁴

5. ELIGIBILITY CRITERIA FOR ADMISSION TO Ph.D. PROGRAMME⁵:

5.1 Ph.D. Programme for Indian Nationals

A. Ph.D. Degree in Law

A Master's Degree in Law (LL.M.), or a professional degree declared equivalent to the Master's degree in Law by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or an equivalent degree from a foreign educational institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions.

Or

Obtained a degree in Law and Master's Degree in Social Sciences or Humanities from any recognized educational institution, Indian or a foreign educational institution accredited as

² UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 10 (1), 2022

³ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 10 (2), 2022

⁴ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 10 (3), 2022

⁵ Amended by the resolution of Executive Council on February 8, 2024

per UGC regulations, with at least 55% marks in aggregate or its equivalent grade point in a point scale wherever grading system is followed.

Provided that candidates with qualifications in Social Sciences and Humanities may be admitted to the programme if the research they wish to pursue interfaces with the discipline of law with a special focus on Public Policy and Law.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (Non-creamy layer)/ Differently-abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the commission from time to time⁶.

Or

(i) Degree in Law and Passed Company Secretary examination conducted by the Institute of CS of India and awarded membership of the Institute shall be eligible for admission of the programme, if the research they wish to pursue interfaces with the discipline of law.

Or

(ii) Degree in Law and Passed the final examination of the Institute of Chartered Accountants of India shall be eligible for admission of the programme, if the research they wish to pursue interfaces with the discipline of law.

B. Ph.D. DEGREE IN SOCIAL SCIENCES VIZ., ECONOMICS, POLITICAL SCIENCE, SOCIOLOGY, HISTORY AND ENGLISH:

A Bachelor's Degree and Master's Degree in relevant Social Sciences or Humanities from any recognized educational institution, Indian or a foreign educational institution accredited as per UGC Regulations, with at least 55% marks in aggregate or its equivalent grade point in a point scale wherever grading system is followed.

A relaxation of 5% or its equivalent grade may be allowed for those belonging to SC/ST/OBC (Non-creamy layer)/ Differently-abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the commission from time to time.

C. Ph.D. DEGREE IN MANAGEMENT:

Bachelor's Degree and Master's Degree in management from any recognized educational institution, Indian or a foreign educational institution accredited as per UGC Regulations, with at least 55% marks in aggregate or its equivalent grade point in a point scale wherever grading system is followed. A relaxation of 5% or its equivalent grade may be allowed for those belonging to SC/ST/OBC A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (Non-creamy layer)/ Differently-abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the commission from time to time⁷.

⁶ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 3, 1(i), 2022

⁷ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 3, 1(i), 2022

5.2 Ph.D. PROGRAMME FOR FOREIGN NATIONALS

A. Admission through open advertisements

A foreign national may apply for the Ph.D. programme in accordance with the admission notifications of NLUJ. The candidates shall have good skills in English language. Foreign nationals are exempted from the entrance examination conducted by NLUJ for Ph.D. enrollment. Except this, the other requirements for admission which are applicable to Indian nationals shall be applicable to foreign nationals also. The number of seats available for foreign nationals shall be in accordance with the notifications issued by NLUJ from time to time. In the event of non-availability of suitable candidates, NLUJ reserves the right not to fill up the seats and under no circumstances these seats shall be converted to any other categories of Ph.D. admission.

B. Admission of candidates from foreign universities/institutions having MoU with NLUJ

A foreign national, who is interested in enrolling for the Ph.D. programme at NLUJ, and is currently enrolled for Ph.D. programme at a foreign university/institution having MoU with NLUJ, may be allowed to be registered for Ph.D. in NLUJ subject to the condition that one supervisor will be from NLUJ and one from the parent foreign university/institution of the candidate. Such a candidate will be exempted from appearing in the entrance test, subject to the condition that the candidate fulfils the other minimum eligibility conditions. The Vice-Chancellor will be the competent authority to allow registration of such candidates on case-to-case basis, on the recommendations of the Doctoral Committee. The candidate will be required to visit NLUJ for Viva-Voce to defend the thesis as per NLUJ Ph.D. Regulations. The fees for the programme shall be in accordance with the terms and conditions of the MoU between NLUJ and the concerned foreign university/ institute. No separate Ph.D. degree of NLUJ will be awarded in such cases. A joint degree may be awarded, if required under the MOU. For the purpose of this regulation, a foreign national shall mean a candidate who possesses citizenship of a country other than India and holds a valid passport.

C. Admission of candidates through ICCR (Indian Council for Cultural Relations) Programmes:

Admission through ICCR: A foreign national may apply for enrolment in Ph.D. programme through ICCR Programme of Ministry of External Affairs, Government of India. The candidates shall have sufficient skills in English language. Foreign nationals are exempted from the entrance examination conducted by NLUJ for Ph.D. enrolment. All other requirements for admission which are applicable to Indian nationals shall be applicable to foreign nationals also. The number of seats available for foreign nationals will be decided by the university on year-to-year basis and will be within the seats fixed by the university for ICCR. In the event of non-availability of suitable candidates, NLUJ reserves the right not to fill up the seats and if required, these seats may be converted to any other categories of Ph.D. admission for foreign nationals. Fee applicable to candidates under this category will be at par with Indian Ph.D. scholars. For the purpose of this regulation, a foreign national shall

mean a candidate who possesses citizenship of a country other than India and holds a valid passport.

6. CATEGORIES OF ENROLMENT AND DURATION OF THE PROGRAMME

A: Full Time Enrolment - Enrolment for the Ph.D. Degree shall ordinarily be full time.

B: Part-time Enrolment - There shall be provision for part-time enrolment for the Ph.D. Degree.

6.1 Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.⁸

6.2 A maximum of an additional two (2) years can be given through a process of re-registration as per the Statute/Ordinance of the NLUJ; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.

Provided further that, female Ph.D. scholars and persons with disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme⁹.

6.3 Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.¹⁰

6.4 NLUJ shall obtain a "No Objection Certificate" through the candidate for a **part-time Ph.D. programme** from the appropriate authority in the organization where the candidate is employed, clearly stating that:¹¹

- i. The candidate is permitted to pursue studies on a part-time basis.
- ii. His/her official duties permit him/her to devote sufficient time for research.
- iii. If required, he/she will be relieved from the duty to complete the course work.

7. PROCEDURE FOR ADMISSION:

7.1 The University shall conduct a National Level Entrance Test annually at the beginning of each academic year for enrollment of candidates to the PhD programme.

7.2 **Admission Process:** Admission to the Ph.D. programme shall be made using the following methods:

⁸ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 4(1), 2022

⁹ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 4(2), 2022

¹⁰ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 4 (3), 2022

¹¹ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 13 (2), 2022

a. For UGC-JRF, NET candidates (as per UGC notification)¹² and Foreign Nationals

- (i) The candidates with fellowship/ scholarship in UGC-JRF, candidate with valid NET score in category 2 and 3, and foreign nationals are exempted from appearing in the Entrance Test.
- (ii) UGC-JRF and foreign Nationals shall be admitted through evaluation of research proposal followed by interview/ viva-voce. The weightage of each component shall be as follows:
 - a) Research Proposal: 50%
 - b) interview/ viva-voce: 50%
- (iii) The candidates who qualify in category 2 and 3, 70% weightage will be given for NET scores and 30% weightage for the interview/ viva-voce.
- (iv) The candidate should also score a minimum of 50% marks in the evaluation of research proposals and interview/viva-voce to qualify for the final selection,
- (v) Candidates equal to three times the number of available seats will be shortlisted for defense of Research Proposal before the Doctoral Committee based on the evaluation of Research Proposals by the Research Advisory Committee.

b. Entrance Test

- (i) NLUJ may admit students through a National Level Entrance Test annually at the beginning of each academic year. The Entrance Test syllabus shall consist of 50% of research methodology and 50% shall be subject specific.¹³
- (ii) Students who have secured 50 % marks in the entrance test are eligible to be called for the evaluation of research proposal followed by interview/ viva-voce where the candidate's research interest/area will be deliberated upon after a presentation made by the candidate before the Doctoral Committee, dwelling on his/her research interest¹⁴.
- (iii) A relaxation of 5% will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC (Non-creamy layer)/ Differently–Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the UGC from time to time¹⁵.
- (iv) NLUJ may decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available.¹⁶

¹² No.F.4-1 (UGC-NET Review Committee/ 2024 (NET)/ 140648 on March 27, 2024

¹³ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 5, clause 2 (ii) 2022

¹⁴ The University will provide the guidelines for submission of the research proposal

¹⁵ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 5, clause 2 (iv) 2022

¹⁶ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 5, clause 2 (v) 2022

mean a candidate who possesses citizenship of a country other than India and holds a valid passport.

6. CATEGORIES OF ENROLMENT AND DURATION OF THE PROGRAMME

A: Full Time Enrolment - Enrolment for the Ph.D. Degree shall ordinarily be full time.

B: Part-time Enrolment - There shall be provision for part-time enrolment for the Ph.D. Degree.

6.1 Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.⁸

6.2 A maximum of an additional two (2) years can be given through a process of re-registration as per the Statute/Ordinance of the NLUJ; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.

Provided further that, female Ph.D. scholars and persons with disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme⁹.

6.3 Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.¹⁰

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⁸ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 4(1), 2022

⁹ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 4(2), 2022

¹⁰ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 4 (3), 2022

¹¹ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 13 (2), 2022

(v) Provided that for the selection of candidates based on the entrance test conducted by the NLUJ, a weightage of 70 % for the entrance test and 30 % for the performance in the interview/ viva- voce shall be given.¹⁷

7.3 The dates of the National Level Entrance Test will be notified well in advance on the University's website and advertised in two national and one regional daily newspaper with details of available seats, areas of specialization, criteria for admission, procedure for admission, examination centres and other relevant information.

7.4 NLUJ shall maintain a list of Ph.D. supervisors (specifying the name of the supervisor, his or her designation, and the department/school/centre), along with the details of Ph.D. scholars (specifying the name of the registered Ph.D. scholar, the topic of his/her research and the date of admission) under them on the website of the institution and update this list every academic year.¹⁸

7.5 On completion of Personal Interview, the University will declare the list of successful candidates and instruct for deposit of enrollment fee.

7.6 The candidates will deposit their Original Migration certificate and all other relevant documents and fulfil all the criteria specified from time to time for admission to the University.

7.7 On payment of enrollment fee, the candidate will be admitted to the PhD program and his/her date of admission will be counted from payment of enrollment fee.

8. COURSE WORK:

Credit requirements, number, duration, syllabus, minimum standards for completion, etc.

8.1 The Credit requirement for the Ph.D. coursework is a minimum of 12 credits, including a "Research and Publication Ethics" and a research methodology course.¹⁹ The details are as follows:

Research Methodology course/workshop (4 credits); Advance level courses focussing on the research area of Ph.D. candidates including Research and Publication Ethics (4 credits); Teaching Assignments (2 credits); Status Paper/Research Paper (2 credits)

8.2 The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme²⁰.

8.3 The Course Work will have to be completed in the first one year of the Doctoral Programme.

¹⁷ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 5, clause 2 (vi) 2022

¹⁸ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 5, clause 4, 2022

¹⁹ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 9, clause 1, 2022

²⁰ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 9, clause 1, 2022

8.4 The contents of the Course Work along with pedagogical requirements, assessment systems and evaluation methods will be notified to scholars before the commencement.

8.5 After completion of all assessments, final grades will be allotted for Course Work. A scholar has to secure minimum 55% or its equivalent grade point to be eligible to continue in the Ph.D. programme and submit the thesis.

8.6 There shall not be any revaluation of the course work. Only one repeat opportunity will be given for completion of all components of Course Work which will include re-appearing in all components thereof. The contents of the course work shall be prepared and updated by Joint Research Board from time to time and shall be subject to approval by the Vice-Chancellor.

8.7 All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching /education /pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 per week of teaching/ research assistantship for conducting tutorial work or evaluations²¹.

9. SUPERVISORS FOR RESEARCH

9.1 Any regular and full time Professor/ Associate Professor of NLUJ with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and any regular Assistant Professors of NLUJ with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as Research Supervisors.

9.2 Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the Joint Research Board. The Joint Research Board may approve the list of such supervisors from time to time and under no circumstances the co-supervisors be appointed as the main supervisor for any research scholar. After successful completion of course work, the University will undertake the appointment of Research Supervisor to proceed with research work²².

9.3 Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors²³.

9.4 Co-Supervisors from other Faculty/ external institutions may be appointed for interdisciplinary areas of research. There shall be no provision of Joint Supervisors.

9.5 The Research Supervisors will be appointed by the Vice Chancellor.

²¹ Amended by the resolution of Executive Council on February 8, 2024

²² Amended by the resolution of Executive Council on February 8, 2024

²³ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 6, clause 1, 2022

9.6 An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.²⁴

9.7 In case of relocation of a female research scholar after marriage, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate, provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to any project secured by the parent institution/ Research Supervisor from any external funding agency. However, the scholar will give due credit to the Research Supervisor and the parent University for the part of research already done²⁵.

9.8 Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years²⁶.

10. SYNOPSIS FINALIZATION²⁷:

10.1 The Doctoral scholar, guided by the Research Supervisor, will work towards finalization of Research Synopsis.

10.3 The Research Synopsis will be presented before the Joint Research Board which will suggest amendments or updation for finalization of the synopsis.

10.3 The Research Synopsis should be finalized within one year of enrolment in the programme.

11. REQUIREMENTS DURING RESEARCH TENURE:

11.1 After successful completion of Course Work and approval of Research Synopsis, the Doctoral scholar will work towards completion of Research work and finalization of thesis.

11.2 The Doctoral scholar must give timely presentation of Progress Reports before the Research Advisory Committee

11.3 Before submitting the Doctoral thesis, the Ph.D. Scholar will give a Pre-Ph.D. presentation before the Research Advisory Committee, which shall also be open to all faculty members and other research scholars/students²⁸. The feedback and comments obtained during

²⁴ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 6, clause 3, 2022

²⁵ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 6, clause 4, 2022

²⁶ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 6, clause 5, 2022

²⁷ Amended by the resolution of Executive Council on February 8, 2024

²⁸ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 11, clause 2, 2022

the said presentation may be suitably incorporated in the final thesis in consultation with the Research Supervisor.

11.4 NLUJ shall have a mechanism using well-developed software applications to detect Plagiarism in research work and the research integrity shall be an integral part of all the research activities leading to the award of a Ph.D. degree.²⁹

11.5 A Ph.D. scholar shall submit 6 copies of detailed Abstract, 6 hard copies of the thesis, a softcopy of the thesis in the form of a CD, along with³⁰;

- (a) an undertaking from the Ph.D. scholar that there is no plagiarism and;
- (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution, to office of the Controller of Examination for evaluation work.

11.6 The Supervisor shall forward the final thesis of the Doctoral scholar with the following certificate-

- (a) That the research work has not been carried out earlier in its present shape*
- (b) That the research work is original based on doctrinal/ empirical/ factual/ experimental/ survey/ study or an analysis/ evaluation of existing facts or principles.*
- (c) That the work is original and that there has been no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the University or any other Institution.*

12. EVALUATION OF DOCTORAL WORK

12.1 On successful completion of research work, the Research Supervisor of the Doctoral scholar shall forward a list of 8 experts, comprising mostly of Professors from Institutions of National importance, some of whom may be based in foreign institutions and none of whom should be from within the University, to the Chairman of the Joint Research Board for needful action. The list of experts should have at least 4 names from outside the State.

12.2 The Joint Research Board may accept or reject the proposed panel. On rejection, the Research Supervisor will provide an amended/ updated panel for the consideration of the Joint Research Board.

12.3 The Joint Research Board shall forward the approved panel to Controller of Examination for needful action.

²⁹ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 11, clause 3, 2022

³⁰ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 11, clause 4, 2022

- 12.4 The Controller of Examination shall present the Research abstract and expert panel before the Vice Chancellor who shall then appoint two external examiners, one of whom may be from outside the country, to initiate the process of Doctoral thesis evaluation.
- 12.5 The evaluation work will be conducted under the supervision of the Controller of Examination.
- 12.6 Steps may be undertaken by the Controller of Examination to seek appointment of new examiners in case of non-availability of/ delay by original examiners. The Vice Chancellor may also seek appointment of a fresh panel upon exhaustion of original panel.
- 12.7 The Doctoral thesis submitted by the Research Scholar will be evaluated by at least two external examiners, who are not in the employment of the University. The thesis defense, based on positive reports by all the examiners, will be conducted by the Research Supervisor as the internal examiner and at least one of two external examiners, and shall be open to attendance by other faculty members, research scholars and interested experts/researchers. The panel of examiners so constituted will be called Board of Examiners.
- 12.8 The examiners evaluating the Doctoral thesis shall express their categorical opinion on the following points:
- (a) That the thesis is an original piece of research work and contributes to existing knowledge either from the point of the discovery of new facts or interpretation of existing facts or both;*
 - (b) That the research scholar has given proof of consistent thinking and of critical approach to the problem enunciated by him/her;*
 - (c) That the thesis is satisfactory so far as its literary presentation is concerned.*
- 12.9 The examiners shall make a clear recommendation that:
- (a) The thesis be accepted for the award of PhD degree; or*
 - (b) The thesis be rejected; or*
 - (c) The research scholar be allowed to present his/her thesis in a revised form.*
- 12.10 The examiners shall send the report to the University in the prescribed University proforma together with additional observations and a detailed report. When all the reports have been received, they shall be placed before the Vice Chancellor for further directions based on the recommendations of the examiners.
- 12.11 The University shall also evaluate the softcopy of the thesis on anti-plagiarism software before sending the thesis for external evaluation. The originality report thus generated will be attached to the Doctoral scholar's file. The thesis may be rejected on account of similarity as prescribed by UGC.
- 12.12 No research scholar shall be allowed to resubmit the thesis more than once.

12.12.1 If all the examiners recommend the award of degree, the Vice Chancellor will order for the arrangement of thesis defense.

12.12.2 If all the examiners recommend a rejection of the thesis, the Vice Chancellor shall order for the rejection of the thesis. Subsequently, the enrollment of the research scholar shall automatically lapse.

12.13 The Board of Examiners at the time of thesis defense, if satisfied with the performance of research scholar, shall recommend the award of the degree of PhD to the research scholar.

12.14 If the research scholar's performance is judged to be unsatisfactory by the Board of Examiners, it may give the research scholar two more chances for thesis defense after a gap of six months each.

12.15 The entire process of evaluation of thesis, including thesis defense, shall be completed within a period of six months from the date of submission of thesis

13. RESUBMISSION REGULATIONS

13.1 If one external examiner recommends the award of degree and another external examiner seeks Re-Submission of thesis, then the thesis will be re-submitted after providing the Doctoral Scholar with the details of the amendments as sought by the Examiner, after concealing the examiner's identity.

13.1.1 The Doctoral scholar will re-submit the Doctoral thesis in a time span of not less than six months and not more than two years.

13.1.2 The re-submitted Doctoral thesis will then go to the examiner who sought re-submission, for evaluation and upon receipt of recommendation for award of degree; the thesis defense will be organized. In case of any of the examiners expressing his/her inability to evaluate the Re-Submitted thesis, the thesis will then go to a new examiner.

13.1.3 On receipt of a non-satisfactory report from the examiner upon Re-Submission, the Vice Chancellor shall order for the appointment of a third examiner. The recommendation of the third examiner will be final.

13.2 If both the external examiners seek Re-Submission of thesis, the thesis will be Re-Submitted after providing the Doctoral scholar with the details of the amendments as sought by the Examiners.

The methodology for Re-Submission will remain as specified in Clause 13.1.1, 13.1.2 and 13.1.3

13.3 If the report of one external examiner recommends the award of degree, and another rejects the thesis, the University shall send the thesis to another external examiner (third examiner) out of the approved panel of examiners and the thesis defense shall be held only if the report of the latest examiner recommends the award of degree. The third

examiner so appointed shall be informed of the recommendations of the other two examiners without disclosing the names of the examiners. If the report of the third examiner also recommends rejection of the thesis, the thesis shall be rejected and the Vice Chancellor shall order for the rejection of the thesis. Subsequently, the enrollment of the research scholar shall automatically lapse.

- 13.4 If the report of one external examiner rejects the thesis, and another seeks Re-Submission of thesis, the University shall direct the research scholar to Re-Submit the thesis after providing the Doctoral Scholar with the details of the amendments as sought by one Examiner, after concealing the examiner's identity.

The re-submitted thesis will then be sent to another external examiner (third examiner) out of the approved panel of examiners and the thesis defense shall be held only if the report of the latest examiner recommends the award of degree. The third examiner so appointed shall be informed of the recommendations of the other two examiners without disclosing the names of the examiners. If the report of the third examiner also recommends rejection of the thesis, the thesis shall be rejected and the Vice Chancellor shall order for the rejection of the thesis. Subsequently, the enrollment of the research scholar shall automatically lapse.

14. SUCCESSFUL COMPLETION OF DOCTORAL WORK

- 14.1 On successful completion of the evaluation process, the examiners' reports and the thesis defense reports shall be placed before the Joint Research Board for consideration. The recommendation of the Joint Research Board will be placed before the Vice Chancellor for consideration who shall then pass orders for granting of provisional degree, subject to the approval of Academic Council, Executive Council and General Council, whose decision shall be final for the award of degree.

- 14.2 Following the successful completion of the evaluation process and before the announcement of the award of PhD degree, the University shall submit an electronic copy of the Doctoral thesis to INFLIBNET for hosting the same so as to make it accessible to all other Higher education and research Institutions.³¹

- 14.3 The Provisional Certificate issued to the Doctoral scholar shall include the provision that the Degree has been awarded in accordance with the provisions of UGC (Minimum Standards and Procedures for Award of Ph.D Degree) Regulations, 2022.

15. RESIDENTIAL REQUIREMENTS:

Every research scholar shall pursue coursework and full-time research for not less than six months in one calendar year from the date of registration. Employed students must obtain study leave to fulfil the residential requirement of the University. The clause shall

³¹ UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulation 17, 2022

be relaxable for faculty members provided their work in the University is related to their proposed research work.

In relation to Ph.D. through part-time: Provision of regulation number 13 of UGC regulations dated November 7, 2022 shall be applicable.³²

16. OTHER REQUIREMENTS:

The University shall maintain, on its website, an updated list of active doctoral scholars including details of enrollment, name of Research Supervisor, area of research etc. on a year wise basis.

17. FEES AND REMUNERATION:

17.1 The fee structure (all non-refundable fees) for the Ph.D. Programme will be as follows:

S.No.	Fee Head	Fee Amount for Indian Nationals	Fee Amount for Foreign Nationals	Period
1	Application Form Fees	Rs. 2,500 (for General) Rs. 1,500/- (for SC/ST/OBC (non-creamy layer), Differently-abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the UGC from time to time	INR 5,000	One Time
2	Admission Fee	Rs.10,000/-	INR 20,000	One Time
3	Hostel Charges	Rs. 18,000/-	INR 24,000	Per Semester
4	Library Charges	Rs. 4500/-	INR 5000	Every semester till submission of Thesis
5	Semester Fee*	Rs. 30,000/-	INR 50,000	Every semester till submission of Thesis
6	Examination/Thesis Evaluation	Rs. 60,000/-	INR 75,000	One Time (at the time of Thesis Submission)

³² UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 13, 2022

	Fee			
7.	Resubmission of the Thesis	Rs. 20,000/-	INR 30,000	

*Note 1: The Vice Chancellor may waive up to 50% of the Semester fee for the Research Scholars who participating in the teaching programmes/administration of the University.

Note 2: There shall be a 10% waiver in semester fee for SC/ST/OBC (Non-creamy layer)/ Differently-abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the commission from time to time.

17.2 The remuneration for thesis evaluation and conducting thesis defense shall be as follows:-

(a) For Thesis Evaluation Rs. 2500/-

(b) For conducting Thesis defense Rs. 3000/-

Note 3: The University reserves the right to amend the fee structure, if required.

18. Saving Clause

18.1 Ph.D. through Distance Mode will not be allowed.

18.2 Notwithstanding anything contained in these regulations, the decision of the Vice Chancellor shall be final on any matter not specified above but related to administration of the Ph.D. programme.